



#5  
C. Burt

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : GYOZO BAKI                      Docket No.: 01-117  
Serial No.: 09/744,035  
Filed : January 17, 2001  
For : UNIVERSAL CARTRIDGE FOR A  
MIXER FAUCET

900 Chapel Street  
Suite 1201  
New Haven, CT 06510-2802

SUBMISSION OF ENGLISH TRANSLATION OF APPLICATION

Hon. Commissioner of Patents and Trademarks  
United States Patent and Trademark Office  
Washington, D.C. 20231

Dear Sir:

In response to the Official Notice of February 8, 2001 to File Missing Parts of Application Under 37 C.F.R. 1.53(d), a copy of which is enclosed, Applicant submits herewith an English translation of the above-identified case. It should be noted that the surcharge for filing the translation was previously paid when filing the application.

If any additional fees are required in connection with this case, it is respectfully requested that they be charged to Deposit Account No. 02-0184.

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner of Patents and Trademarks, Washington, D.C. 20231

on April 17, 2001

(Date of Deposit)  
Antoinette Sullo  
Name and Reg. No. of Attorney  
*Antoinette Sullo*  
Signature  
4-17-01  
Date of Signature

Respectfully submitted,

GYOZO BAKI

By *Robert H. Bachman*  
Robert H. Bachman  
Attorney for Applicant  
Telephone: 203-777-6628  
Telefax : 203-865-0297

Date: April 17, 2001



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : GYOZO BAKI

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SUBMISSION OF DECLARATION

Hon. Commissioner of Patents and Trademarks  
United States Patent & Trademark Office  
Washington, D.C. 20231

Attention: PCT Division

Dear Sir:

Applicant encloses herewith a properly executed Declaration for the above-identified PCT application. It should be noted that the surcharge for filing this document was previously paid when filing the application.

It is submitted that this application now complies with all formal requirements and therefore an action on the merits is respectfully requested.

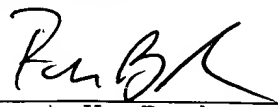
If any fees are required in connection with this case, it is respectfully requested that they be charged to Deposit Account No. 02-0184. A duplicate copy of this paper is

enclosed herewith in connection with any deposit account charge.

Respectfully submitted,

GYOZO BAKI

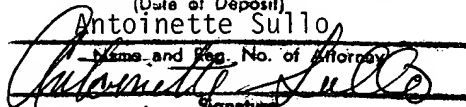
By

  
Robert H. Bachman  
Attorney for Applicant

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Date: April 17, 2001

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on April 17, 2001  
(Date of Deposit)  
Antoinette Sullo  
Name and Reg. No. of Attorney  
  
Signature  
4-17-01  
Date of Signature

U.S. APPLICATION NO. 097740035	FIRST NAMED APPLICANT BAKI	ATTY. DOCKET NO. 01-117
INTERNATIONAL APPLICATION NO. PCT/HU99/00052		
I.A. FILING DATE 07/16/99		PRIORITY DATE 07/22/98

DATE MAILED: 02/08/01

09/744035  
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908 CHAPEL STREET SUITE 1201  
NEW HAVEN CT 06510-2802

APR 20 2001  
PATENT & TRADEMARK OFFICE

JCO5 Rec'd PCT/PTO 20 APR 2001

Response Due: 3-8-01  
**NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)**

1. The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as
- ☐ a Designated Office (37 CFR 1.494),
  - ☒ an Elected Office (37 CFR 1.495):
  - ☒ U.S. Basic National Fee.
  - ☒ Copy of the international application in:
    - ☒ a non-English language.
    - ☐ English.
  - ☒ Translation of the international application into English.
  - ☐ Oath or Declaration of inventor(s) for DO/EO/US.
  - ☐ Copy of Article 19 amendments.
  - ☐ Translation of Article 19 amendments into English.
  - ☒ The International Preliminary Examination Report in English and its Annexes, if any.
  - ☐ Translation of Annexes to the International Preliminary Examination Report into English.
  - ☐ Preliminary amendment(s) filed \_\_\_\_\_ and \_\_\_\_\_.
  - ☐ Information Disclosure Statement(s) filed \_\_\_\_\_ and \_\_\_\_\_.
  - ☐ Assignment document.
  - ☐ Power of Attorney and/or Change of Address.
  - ☐ Substitute specification filed \_\_\_\_\_.
  - ☒ Statement Claiming Small Entity Status.
  - ☐ Priority Document.
  - ☒ Copy of the International Search Report ☒ and copies of the references cited therein.
  - ☐ Other:
2. The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:
- ☒ a. Translation of the application into English. Note a processing fee will be required if submitted later than the appropriate 20 or 30 months from the priority date.
    - ☐ The current translation is defective for the reasons indicated on the attached Notice of Defective Translation.
  - ☒ b. Processing fee for providing the translation of the application and/or the Annexes later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(f)).
  - ☒ c. Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
    - ☐ The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
  - ☒ d. Surcharge for providing the oath or declaration later than the appropriate 20 or 30 months from the priority date (37 CFR 1.492(e)).
3. Additional claim fees of \$ \_\_\_\_\_ as a ☐ large entity ☐ small entity, including any required multiple dependent claim fee, are required. Applicant must submit the additional claim fees or cancel the additional claims for which fees are due (37 CFR 1.492(g)). See attached PTO-875.

**ALL OF THE ITEMS SET FORTH IN 2(a)-2(d) AND 3 ABOVE MUST BE SUBMITTED WITHIN ONE MONTH FROM THE DATE OF THIS NOTICE OR BY ☐ 21 OR ☒ 31 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

4. Translation of the Annexes **MUST** be submitted no later than the time period set above or the annexes will be cancelled. Note processing fee will be required if submitted later than 30 months from the priority date.
5. ☐ The Article 19 amendments are cancelled since a translation was not provided by the appropriate 20 (37 CFR 1.494(d)) or 30 (37 CFR 1.495(d)) months from the priority date.

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

**A copy of this notice MUST be returned with this response.**

Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation  
☐ PTO-875

FORM PCT/DO/EO/905 (December 1997)

Telephone: (703) 703-3734